

RISK & COMPLIANCE

2023

J A A R C O N G R E S

INNOVATIE & TOEZICHT:

Is RegTech het juiste antwoord?

Donderdag **15 juni 2023** - Landgoed Groot Kievitsdal, Baarn

Keynote



Rona Antonius

Spreker

De transitie van AML5
naar de nieuwe Europese
regelgeving MiCA



Chris Demetrius

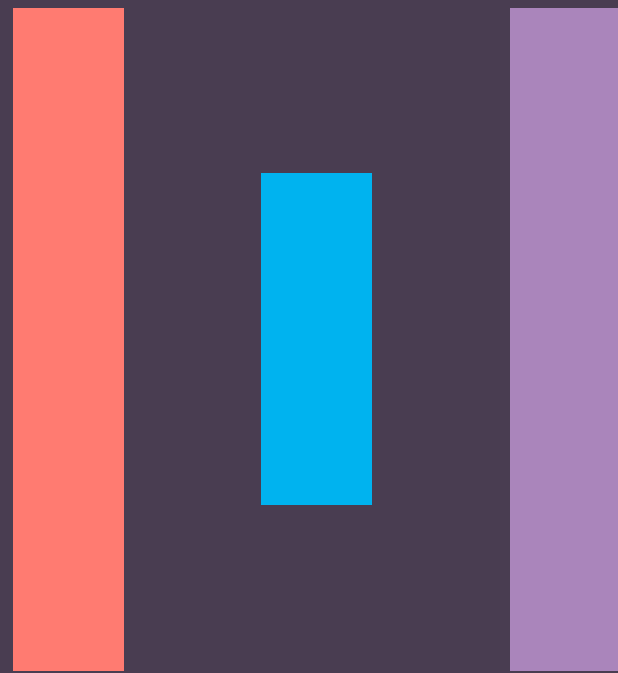
Spreker

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J A A R C O N G R E S

Preparing for MiCA regulation: three golden rules for fintechs



Hyphe

Easy-access digital asset
liquidity for financial
institutions

Twee steden om een reden

De Nederlandsche Bank (DNB):
Registratie als crypto dienstverlener
(AML5)

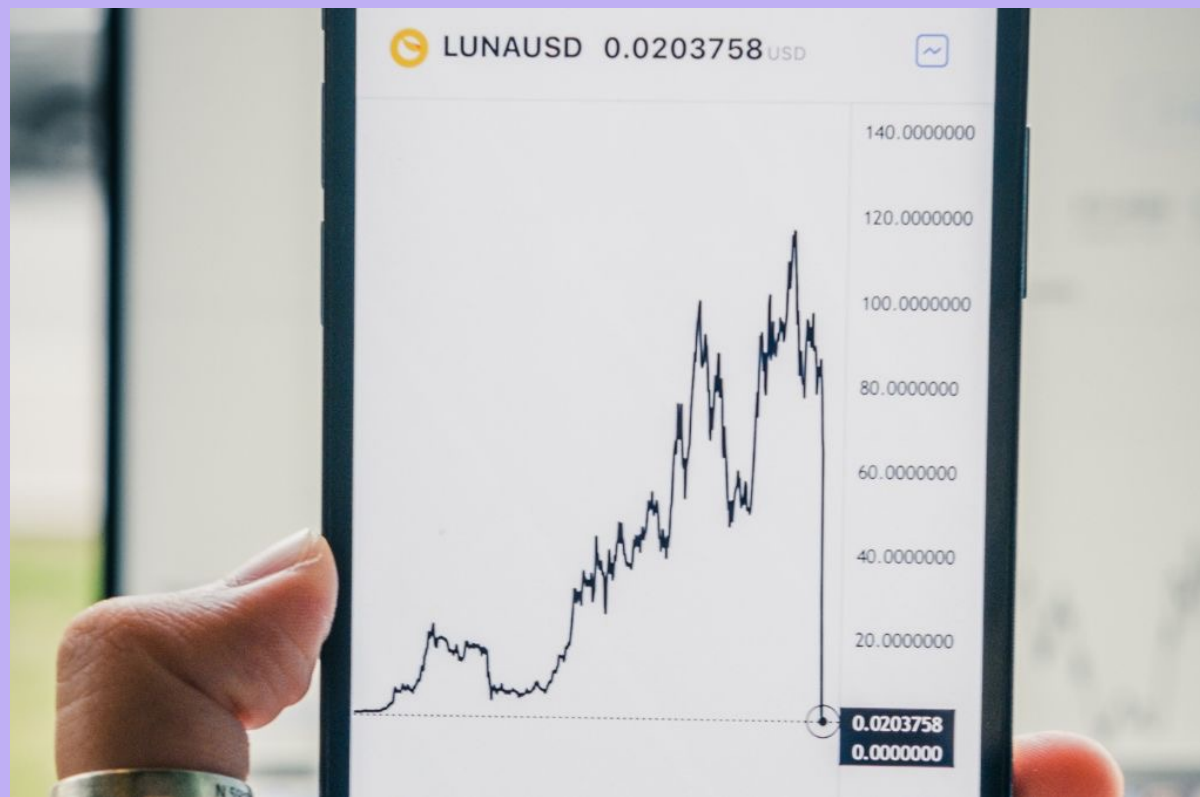
Duitse toezichthouder (BaFin):
Wertpapierinstitut (WpIG)



Toezicht op de risico's van digitale valuta



#1: “Risks get real before they get regulated”



Specifieke valuta



Beurzen

Available Balances: 0.00000 BTC, 0.00 USD

\$0.00 FUNDING LIMITS (USD): INTERMEDIATE **Cash** **Crypto** MARGIN PORTFOLIO VALUE (USD)

Asset	Market Price	Amount	Value	Actions
Dollar	1.00 USD	0.00 USD	0.00 USD	↓ ↑
	1.18 USD	0.00 EUR	0.00 USD	↓ ↑
Total			0.00 USD	

Liquiditeit

#1: “Risks get real before they get regulated”



Uitgebreid
listing proces



Beurzen

A screenshot of a crypto exchange interface. The top navigation bar includes "Prices", "Earn", "Funding" (highlighted), "History", "Support", and "Buy Crypto". The "Available Balances" section shows 0.00000 BTC and 0.00 USD. The "FUNDING LIMITS (USD): INTERMEDIATE" section shows "Daily deposits" and "Daily withdrawals" both at \$0.00 / \$100K. The "MARGIN PORTFOLIO VALUE (USD)" section shows "Used/Free margin" and "Unrealized P/L". The "balances" table shows a "Total" of 0.00 USD. The "ASSETS" table shows a balance of 0.00000 BTC at a market price of 47,484.70 USD.

MARKET PRICE	AMOUNT	VALUE	ACTIONS
1.00 USD	0.00 USD	0.00 USD	↓ ↑
1.18 USD	0.00 EUR	0.00 USD	↓ ↑
Total		0.00 USD	

ASSETS	MARKET PRICE	AMOUNT	VALUE	ACTIONS
bin	47,484.70 USD	0.00000 BTC	0.00 USD	↓ ↑

Liquiditeit

#1: “Risks get real before they get regulated”



Uitgebreid
listing proces



Stricte
tegenpartij acceptatie

FUNDING LIMITS (USD): INTERMEDIATE		Cash	Crypto	MARGIN PORTFOLIO VALUE (USD)	
\$0.00	Daily deposits	\$0.00 / \$100K		Used/Free margin	\$0.00 / \$100K
\$0.00	Daily withdrawals	\$0.00 / \$100K		Unrealized P/L	\$0.00
				Margin level	

balances		MARKET PRICE	AMOUNT	VALUE	ACTIONS
Dollar	1.00 USD	0.00 USD	0.00 USD	↓ ↑	
	1.18 USD	0.00 EUR	0.00 USD	↓ ↑	
Total			0.00 USD		

ASSETS		MARKET PRICE	AMOUNT	VALUE	ACTIONS
bin	47,484.70 USD	0.00000 BTC	0.00 USD	↓ ↑	

Liquiditeit

#1: “Risks get real before they get regulated”



Uitgebreid
listing proces



Stricte
tegenpartij acceptatie



Liquiditeitsmanagement

#2: “Compliance takes time”



Blanco
pagina

Startpunt

Tijd

#2: “Compliance takes time”



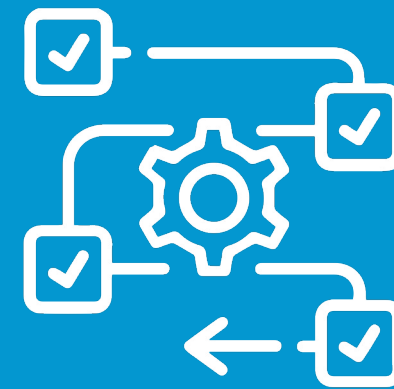
Blanco pagina



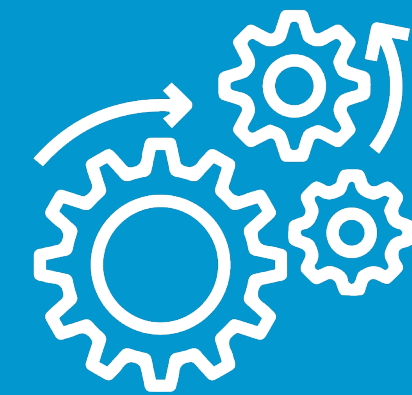
Mensen



Beleidsstukken



Processen



Operationeel /
monitoring ervaring

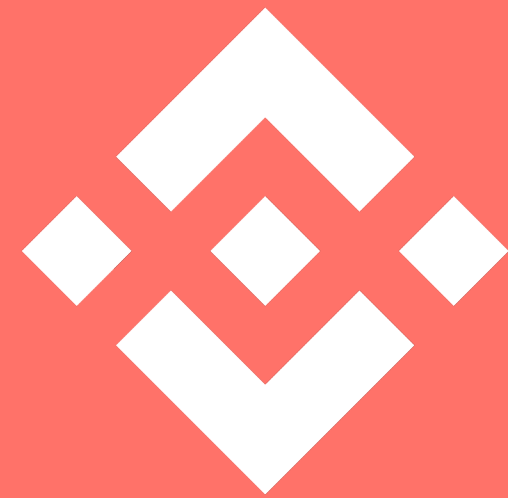
Startpunt

Tijd

#3: “Fines are not just a cost of doing business”

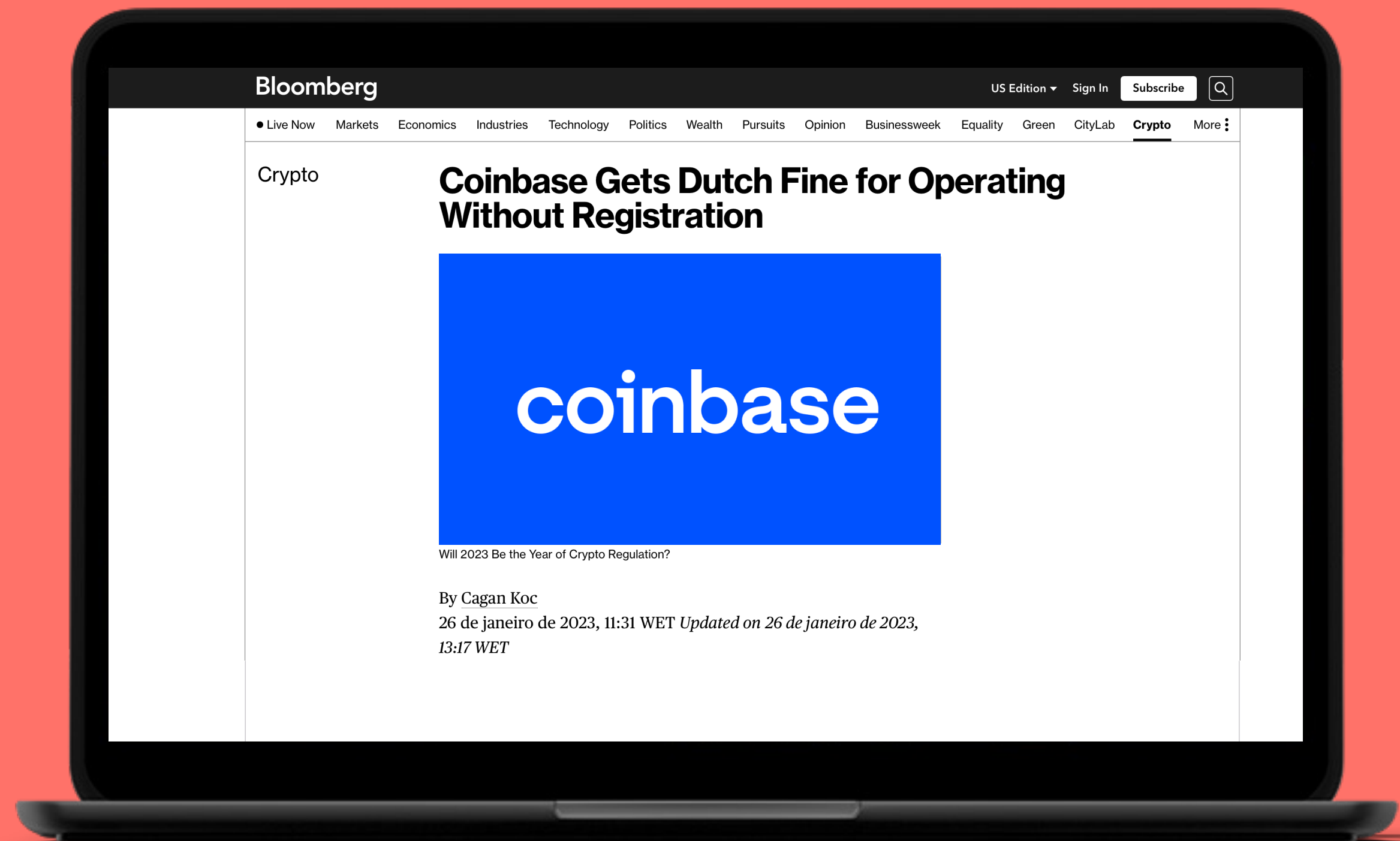
Uber

#3: “Fines are not just a cost of doing business”



BINANCE

#3: “Fines are not just a cost of doing business”



#3: “Fines are not just a cost of doing business”



Relaties met toezichthouders
onderhouden



Verantwoordelijkheidsbesef



Compliance
is al kostbaar!

Vragen



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2024

J A A R C O N G R E S

DE WWFT:

Wat kunnen en wat doen we ermee?

Risk & Compliance Jaarcongres - Donderdag **13 juni** 2024

www.riskcompliancejaarcongres.nl